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TO: Commissioner for Patents  
Attn: Examiner Daniel Felten  
P.O. Box 1450  
Alexandria, VA 22313-1450

FROM: Brian N. Tufte

OUR REF: 1137.1101101  
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Title of Document Transmitted: Amendment in response to office action of 5-20-05  
Applicant: Scott Fergusson  
Serial No.: 09/917,120  
Filed: July 27, 2001  
Group Art Unit: 3624  
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Confirmation No. 2233

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Name: Lynn Thompson

Lynn Thompson  
Signature

July 15, 2005  
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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Scott Fergusson

Examiner: Daniel Felten

Serial No.: 09/917,120

Group Art Unit: 3624

Filed: July 27, 2001

Docket No.: 1137.1101101

For: METHODS AND SYSTEMS FOR ASSISTING FINANCIAL SERVICES FIRMS  
AND THEIR REPRESENTATIVES

Confirmation No.: 2233

**AMENDMENT-AFTER-FINAL**

Mail Stop Amendment

Assistant Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

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Lynn Thompson

July 15, 2005

Date

This response is being filed in response to the Final Official Action of the Examiner  
mailed May 20, 2005, setting a three-month shortened statutory period for response ending  
August 20, 2005.

Amendments to the Claims are reflected in the listing of claims which begins on page 2  
of this paper.

Remarks/Arguments begin on page 12 of this paper.

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